IALA VTS Questionnaire

The purpose of this questionnaire is to gather information on VTS from the competent authorities.

The aims of gathering information by this questionnaire are:

* to gain a common understanding of the legal basis and regulatory framework for VTS as well as the delivery of VTS worldwide; and
* to contribute to the improvement of VTS related IALA documentation.

**Competent authority for VTS**

Name of organisation [filling in the questionnaire]:

Country:

Contact person:

E-mail:

|  |  |  |
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| **YOUR GOVERNMENT** |  |  |
| **Question** | **Answer** | **Comments** |
| 1. Has your Government established a legal basis for VTS that gives effect to SOLAS regulation V/12 Vessel Traffic Services? | Yes  No  Uncertain |  |
| If Yes, does the legal basis include: |  |  |
| * 1. appointing and authorizing a competent authority for VTS? | Yes  No  Uncertain |  |
| * 1. taking appropriate action against a ship flying its flag that is reported not to have complied with the provisions of VTS? | Yes  No  Uncertain |  |
| * 1. taking into account IALA standards and associated recommendations, guidelines and model courses? | Yes  No  Uncertain |  |
| **2)** Has your government appointed more than one competent authority for VTS? | Yes  No |  |
| If Yes: |  |  |
| **2.1)** How many competent authorities have been appointed and authorized? | Number: |  |
| **2.2)** Please list the competent authorities that have been appointed and authorized. |  |  |
| **COMPETENT AUTHORITY** |  |  |
| **Question** | **Answer** | **Comments** |
| Has the government/competent authority for VTS established a regulatory framework for establishing and operating VTS in accordance with: |  |  |
| **3.1)** relevant international conventions? | Yes  No  Partly |  |
| **3.2)** IMO instruments? | Yes  No  Partly |  |
| **3.3)** IALA standards? | Yes  No  Partly |  |
| Does the regulatory framework provide for the competent authority to: |  |  |
| **4.1)** authorize VTS providers to operate VTS within a delineated VTS area? | Yes  No |  |
| **4.2)** ensure that VTS training is approved and VTS personnel are certified? | Yes  No |  |
| **4.3)** establish a compliance and enforcement framework with respect to violations of VTS regulatory requirements? | Yes  No |  |
| **5)** How many VTS providers are authorized? | Number: |  |
| **6)** How many delineated VTS areas are formally declared? | Number: |  |
| **7)** Have VTS areas been formally established in association with IMO adopted ships' routeing systems or mandatory ship reporting systems, in accordance with SOLAS regulations V/10 and V/11 respectively? | Yes  No |  |
| If Yes: |  |  |
| **7.1)** How many in association with V/10?  **7.2)** How many in association with V/11? | Number:  Number: |  |
| **8)** Have any of the formally declared VTS areas been established beyond your territorial sea? | Yes  No |  |
| If Yes: |  |  |
| **8.1)** How many? | Number: |  |

**Ensuring VTS providers meet their responsibilities** (to be filled in by the competent authority for VTS)

| **Question** | **Answer** | **Comments** |
| --- | --- | --- |
| **9)** Does the competent authority ensure that VTS personnel are certified in accordance with IALA Guideline G1156 *Recruitment, training and certification of VTS Personnel*? | Yes  No |  |
| **10)** Does the competent authority ensure that delivery of VTS training and IALA VTS model courses are approved in accordance with IALA Guideline G1014 *Accreditation of VTS Training Organizations and approval to deliver IALA VTS model courses*? | Yes  No |  |
| **11)** Does the competent authority require a VTS Training Organization to be accredited in accordance with IALA Guideline G1014? | Yes  No |  |
| **12.1)** How many VTS Training Organizations do provide training in your State? | Number: |  |
| **12.2)** How many of these have been accredited in accordance with IALA Guideline G1014? | Number: |  |
| Does the competent authority ensure that: |  |  |
| **13.1)** VTS providers conform with the regulatory framework set by the competent authority? | Yes  No |  |
| **13.2)** VTS providers set and routinely evaluate operational objectives for VTS? | Yes  No |  |
| **13.3)** appropriate equipment, systems and facilities for delivery of VTS are provided? | Yes  No |  |
| **13.4)** VTS centres are adequately staffed? | Yes  No |  |
| **13.5)** VTS providers promulgate information regarding requirements and procedures of VTS in appropriate nautical publication? | Yes  No |  |
| If Yes on one or more of the above, how is this ensured: |  |  |
| **14.1)** By audits? | Yes  No |  |
| **14.2)** By xxxx? | Yes  No |  |
| **14.3)** By xxxx? | Yes  No |  |
| **14.4)** By other means? *(please specify)* |  |  |

**SUMMARY OF VTS’s appointed and authorized** (to be filled in by the competent authority for VTS)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| No. | Name of VTS provider (organization) | Name of declared VTS (eg. Port XXX VTS) | Name of VTS Centre that operates the VTS |  |
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